

## FINANCIAL MARKETS – INDUSTRY ABBREVIATIONS

AAll = American Association of Individual Investors

ABI = Absolute Breadth Index

ABS = Asset Backed Security

A/D = Advance-Decline

ADR = American Depository Receipt

ADX = Average Directional Movement Index

AH = After Hours

AMEX = American Stock Exchange (also called ASE)

AMT = Alternative Minimum Tax

ASE = American Stock Exchange

ATH = All Time High

ATR = Average True Range

BB = Bollinger Band

BDI = Baltic Dry Index

BEA = Bureau of Economic Analysis

BLS = Bureau of Labor Statistics

BO = Breakout

BOE = Bank of England

BOJ = Bank of Japan

BOP = Balance of Power Indicator

BOX = Boston Options Exchange

BRIC = Brazil, India, Russia and China

BSE = Boston Stock Exchange

CAFTA = Central America Free Trade Agreement

CAPEX = Capital Expenditures

CAPM = Capital Asset Pricing Model

CBOE = Chicago Board Options Exchange

CBT = Chicago Board of Trade

CCI = Commodity Channel Index

CD = Certificate of Deposit

CDO = Collateralized Debt Obligation  
CDS = Credit Default Swap  
CFP = Certified Financial Planner  
CFTC = Commodity Futures Trading Commission  
CE = Chip Equipment  
CFA = Chartered Financial Analyst  
CME = Chicago Mercantile Exchange  
CMO = Collateralized Mortgage Obligation  
CMT = Chartered Market Technician  
CMX = Commodity Exchange of New York (also called COMEX)  
CNG = Compressed Natural Gas  
COMEX = Commodity Exchange of New York  
COT = Commitment of Traders  
CP = Commercial Paper  
CPA = Certified Public Accountant  
CPFF = Commercial Paper Funding Facility  
CPI = Consumer Price Index  
CPO = Commodity Pool Operator  
CRB = Commodity Research Bureau  
CSE = Chicago Stock Exchange  
CSI = Commodity Selection Index  
CTA = Commodity Trading Advisor  
CVI = Cumulative Volume Index  
DI = Directional Index  
DJIA = Dow Jones Industrial Average  
DJT = Dow Jones Transportation Average  
DRIP = Dividend Reinvestment Plan  
EAFE = Europe, Australia and the Far East  
EBIT = Earnings before Interest and Taxes  
EBITDA = Earnings before Interest, Taxes, Depreciation and Amortization  
ECB = European Central Bank  
ECN = Electronic Communication Network

ECRI = Economic Cycle Research Institute  
EESA = Emergency Economic Stabilization Act  
EFT = Electronic Funds Transfer  
EMA = Exponential Moving Average  
EOD = End of Day  
EOM = End of Month  
E&P = Exploration and Production  
EPS = Earnings per Share  
ERISA = Employee Retirement Income Security Act  
ESOP = Employee Stock Ownership Plan  
ETF = Exchange Traded Fund  
ETN = Exchange Traded Note  
EU = European Union  
EWA = Elliot Wave Analysis  
EZFIG = Euro Zone Future Inflation Gauge  
FA = Fundamental Analysis  
FAF = Financial Analysts Federation  
FASB = Financial Accounting Standards Board  
FBN = Fox Business Network  
FDIC = Federal Deposit Insurance Corporation  
FGIC – Federal Guaranty Insurance Corporation  
FHA = Federal Housing Administration  
FIFO = First In, First Out  
FIG = Future Inflation Gauge  
FOB = Free on Board  
FOMC = Federal Open Market Committee  
FOREX = Foreign Exchange  
FTC = Federal Trade Commission  
FTSE = Financial Times Stock Exchange (pronounced foot-sie)  
FY = Fiscal Year  
GAAP = Generally Accepted Accounting Principles  
GAAS = Generally Accepted Auditing Standards

GDP = Gross Domestic Product  
GSE = Government Sponsored Enterprise  
GTC = Good 'til Canceled  
HOD = High of Day  
HPI = Herrick Payoff Index  
H&S = Head and Shoulders  
IB = Investment Banker  
IBD = Investor's Business Daily  
ICE = Intercontinental Exchange  
IMF = International Monetary Fund  
IO = Interest Only  
IPO = Initial Public Offering  
IRA = Individual Retirement Account  
IRS = Internal Revenue Service  
ISM = Institute of Supply Management  
IT = Intermediate-term  
ITM = In the Money  
JIT = Just-in-Time  
LBO = Leveraged Buyout  
LCE = London Commodity Exchange  
LDC = Less Developed Country  
LEAPS = Long-term Equity Anticipation Securities  
LIBOR = London InterBank Offered Rate  
LIFO = Last In, First Out  
LNG = Liquefied Natural Gas  
LOC = Letter of Credit  
LOD = Low of Day  
LT = Long-term  
M&A = Mergers and Acquisitions  
MA = Moving Average  
MACD = Moving Average Convergence Divergence  
MACRS = Modified Accelerated Cost Recovery System

MAE = Maximum Adverse Excursion  
MBS = Mortgage Backed Security  
MFE = Maximum Favorable Excursion  
MFI = Money Flow Index  
MIT = Market if Touched  
MM = Market Maker  
MSR = Member Short Ratio  
MTA = Market Technicians Association  
MTM = Mark to Market  
NAFTA = North American Free Trade Agreement  
NASD = National Association of Securities Dealers  
NASDAQ = National Association of Securities Dealers Automated Quotation System  
NAV = Net Asset Value  
NBER = National Bureau of Economic Research  
NDX = Nasdaq 100 Index  
NH = New High  
NH52 = New 52-week High  
NH-NL = New Highs-New Lows  
NL = New Low  
NL52 = New 52-week Low  
NR = Narrow Range  
NR7 = Narrowest Range Bar Last 7 Days  
NVI = Negative Volume Index  
NYMEX = New York Mercantile Exchange  
NYSE = New York Stock Exchange  
OB/OS = Overbought/Oversold  
OBV = On Balance Volume  
OCA = One Cancels All  
OCC = Options Clearing Corporation  
OCO = One Cancels Other  
O&G = Oil and Gas  
OHLC = Open-High-Low-Close

OLBI = Odd Lot Balance Index  
OLSR = Odd Lot Short Ratio  
OPEC = Organization of Petroleum Exporting Countries  
OTC = Over the Counter  
OTCBB = Over the Counter Bulletin Board  
OTM = Out of the Money  
P/C = Puts/Calls  
PDT = Pattern Day Trader  
PE or P/E = Price to Earnings Ratio  
P&F = Point & Figure  
PHLX = Philadelphia Stock Exchange  
P&L = Profit and Loss  
PM = Pre-market  
PMI = Purchasing Manager's Index  
PO = Principal Only  
PPI = Producer Price Index  
PPT = Plunge Protection Team  
P/S = Price to Sales  
PSE = Pacific Stock Exchange  
PSR = Public Short Ratio  
PV = Present Value  
PVI = Positive Volume Index  
R&D = Research and Development  
REIT = Real Estate Investment Trust  
RFC = Reconstruction Finance Corp  
RMT = Resolution Mortgage Trust  
ROA = Return on Assets  
ROC = Rate of Change  
ROE = Return on Equity  
ROI = Return on Investment  
RP = Repurchase Agreement  
R/R = Reward versus Risk or Reward-to-Risk

RS = Relative Strength  
RSI = Relative Strength Index  
RTC = Resolution Trust Corporation  
SAR = Stop-and-Reversal  
SEC = Securities and Exchange Commission  
SEP = Simplified Employee Pension Plan  
SH = Swing High  
SIC = Standard Industrial Classification System  
SIV = Structured Investment Vehicle  
SL = Swing Low  
S&L = Savings and Loan  
SMA = Simple Moving Average  
SOES = Small Order Execution System  
S&P = Standard & Poor's  
SPDR = Standard & Poor's Depository Receipt  
SPR = Strategic Petroleum Reserve  
S/R = Support and Resistance  
SRL = Speed Resistance Lines  
SSF = Single Stock Futures  
ST = Short-Term  
STP = Straight Through Process  
TA = Technical Analysis  
TALF = Term Asset-Backed Securities Loan Facility  
TARP = Troubled Asset Relief Program  
TED Spread = Treasury Bills vs. Euro Dollars  
TICK = NYSE Tick Index  
TIPS = Treasury Inflation-Protected Securities  
T/L = Trendline  
TO = Takeover  
TOS = Time of Sale  
TRIN = Trading Index (Arm's Short-term Trading Index)  
TSA = Tax Sheltered Annuity

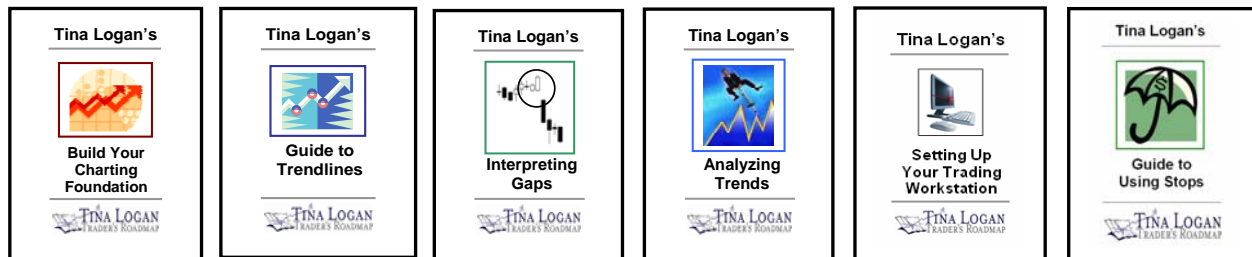
TSR = Total Short Ratio  
TSV = Time Segmented Volume Indicator  
TVI = Trade Volume Index  
UGMA = Uniform Gifts to Minors Act  
UN = United Nations  
UW = Underwriter  
VAT = Value Added Tax  
VIX = Volatility Index  
VOIP = Voice Over Internet Protocol  
WLI = Weekly Leading Index  
WTO = World Trade Organization  
WMA = Weighted Moving Average  
YTM = Yield to Maturity



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